#### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS TYLER DIVISION

ERICSSON INC., et al.,

Plaintiffs,

VS.

D-LINK CORPORATION, et al.,

**D-Links.** 

Civil Action No. 6:10-cv-473

**JURY TRIAL DEMANDED** 

#### AMENDED PROPOSED JOINT FINAL PRETRIAL ORDER

The Pretrial Conference is scheduled on May 23, 2013 at 9:00 a.m. in Tyler, Texas, pursuant to the Court's Docket Control Order (Dkt. No. 102) and as amended by the Court's subsequent orders including Amended Docket Control Order (Dkt. No. 366), Local Rule CV-16(b), and Rule 16 of the Federal Rules of Civil Procedure. The following parties submit this Amended Joint Pretrial Order: Plaintiffs, Ericsson Inc. and Telefonaktiebolaget LM Ericsson, (collectively "Ericsson" or "Plaintiff") and all Defendants, D-Link Systems, Inc. ("D-Link"), NETGEAR, Inc. ("Netgear"), Acer, Inc., Acer America Corporation, Gateway, Inc. (together "Acer"), Dell Inc. ("Dell"), Toshiba America Information Systems, Inc., Toshiba Corporation ("Toshiba"), and Belkin International, Inc. ("Belkin"), and Intervenor Intel Corporation ("Intel") (collectively "Defendants").

This amendment reflects changes concerning asserted claims regarding the '568 patent, the existence of additional pending motions, and updates to the parties' disclosures.

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#### II. STATEMENT OF JURISDICTION

This Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331, and 1338(a) because this action arises under the patent laws of the United States, including 35 U.S.C. § 1 *et seq*.

Venue and personal jurisdiction are not disputed in this case.

#### III. NATURE OF ACTION

#### • Ericsson's Statement Regarding the Description of the Case

This is a patent infringement lawsuit. Ericsson alleges that Defendants directly and indirectly infringe U.S. Patent Nos. 5,987,019 ('019 Patent), 6,466,568 ('568 Patent), 6,330,435 ('435 Patent), 6,424,625 ('625 Patent), 6,519,223 ('223 Patent), and 6,772,215 ('215 Patent). Ericsson further alleges that Defendants' infringement is and has been willful. Additionally,

Ericsson alleges that Defendants infringe either literally or, in the alternative, under the doctrine of equivalents. Ericsson seeks both pre-verdict and post-verdict damages up to the time of judgment to compensate Ericsson for Defendants' alleged acts of infringement, but in no event less than a reasonable royalty, as well as injunctive relief against future acts of infringement by Defendants under 35 U.S.C. § 283. In the alternative, Ericsson contends that any denial of a permanent injunction should be conditioned on payment of reasonable royalties for future litigation. Ericsson also seeks prejudgment and post judgment interests and costs, pursuant to 35 U.S.C. § 284 and attorneys' fees pursuant to 35 U.S.C. § 285, as well as treble damages against Defendants.

#### • Defendants' Statement Regarding the Description of the Case

This is a patent infringement lawsuit. Plaintiffs Ericsson allege that they are the owners by assignment of the following United States patents: United States Patent No. 5,987,019 ("the '019 patent"), United States Patent No. 6,330,435 ("the '435 patent"), United States Patent No. 6,424,625 ("the '625 patent"), United States Patent No. 6,466,568 ("the '568 patent"), United States Patent No. 6,519,223 ("the '223 patent"), United States Patent No. 6,772,215 ("the '215 patent"), and United States Patent No. 5,790,516 ("the '516 patent") (collectively, the "patents-in-suit"). Ericsson also alleges that each of the Defendants infringes the '568,'019, '625, '435 and '215 patents and that Intel and those defendants using Intel-supplied chips infringe the '223 patent. Specifically, Ericsson alleges that: (1) D-Link infringes the '568, '019, '625, '435, and '215 patents; (2) NETGEAR infringes the '568, '019, '625, '435 and '215 patents; (3) Belkin

Ericsson has dropped its accusations of infringement relating to the '516 patent, but Ericsson's proposed covenant not to sue on the '516 patent is insufficient to resolve the parties' claims concerning that patent because (1) it is improperly time-limited to exclude future identical products operating in accordance with the same accused standard; and (2) it improperly carves out identical products sold by Intel to other customers who not defendants in this case. Defendants are seeking leave to file a summary judgment motion to fully resolve Ericsson's claims relating to the '516 patent.

infringes the '568, '019, '625, '435 and '215 patents; (4) Acer infringes the '568, '019, '625, '435, '215, and '223 patents; (5) Dell infringes the '568, '019, '625, '435, '215, and '223 patents; (6) Toshiba infringes the '568, '019, '625, '435, '215, and '223 patents; and (7) Intel infringes the '568, '019, '625, '435, '215, and '223 patents. In addition, Ericsson alleges that Defendants' infringement is, and has been, willful. Ericsson seeks monetary damages for Defendants' alleged infringement in the form of a reasonable royalty. Ericsson states that it also reserves the right to seek injunctive relief following a finding of infringement of any of the patents-in-suit.

Defendants contend that they do not and have not infringed any of the patents-in-suit. Defendants further contend that the asserted claims of the patents-in-suit are invalid because they are anticipated (i.e., not novel), they would have been obvious to a person skilled in the art, and/or because Ericsson failed to comply with the requirements of the Patent Act to adequately describe and enable the invention to a person skilled in the art. Defendants also assert that Ericsson is not entitled to any damages, that any damages that can be awarded to Ericsson are limited by Ericsson's obligation to license the patents-in-suit on reasonable and nondiscriminatory ("RAND") bases, that Ericsson has breached its RAND obligations by refusing to license its patents in a manner consistent with RAND, has breached its contractual obligations to offer RAND licenses, that Ericsson is estopped from seeking damages due to its breach of its RAND obligations and unclean hands, and that its damages claims are barred due to laches. Defendants further seek a declaration that they do not infringe the patents-in-suit, and that such patents are invalid. If one or more of the asserted claims are found to be valid and if Defendants are found to have infringed such claims, in addition to denying that Ericsson is entitled to any damages, Defendants deny that their alleged infringement was willful and further deny that enhanced damages are appropriate. Defendant Dell Inc. contends that the present lawsuit cannot be maintained because Ericsson entered in to a covenant not to sue Dell. Defendants further deny that injunctive relief is appropriate. Defendants seek their attorneys' fees pursuant to 35 U.S.C. § 285, as well as costs.

#### IV. CONTENTIONS OF THE PARTIES

#### • Ericsson's Statement of its Contentions

By providing these Contentions, Ericsson does not concede that all of these issues are appropriate for trial. In addition, Ericsson does not waive any of its motions *in limine* or motions for summary judgment.

- 1. In this case, Ericsson contends that Defendants directly infringe claims 1-5 of the '568 patent; claims 19 and 22-24 of the '019 patent; claim 1 of the '625 patent; claims 1 and 2 of the '435 patent; claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52, and 54 of the '215 patent; and claims 1-3, 11-14, 19, 21, and 22, of the '223 patent under 35 U.S.C. §§ 271 and 281-285, literally and/or under the doctrine of equivalents, by making, using, offering for sale, selling, importing into the United States certain IEEE 802.11n products that implemented 802.11n functionality that practice the asserted claims of the '568, '019, '223, '625, '435, and '215 patents, without authority or license from Ericsson. Ericsson further contends that Defendants indirectly infringe the claims of the '568, '019, '223, '625, '435, and '215 patents literally and/or under the doctrine of equivalents.
- 2. Ericsson contends that the inventions embodied by the asserted claims of the '568 patent are entitled to the effective filing date of October 15, 1996.
- 3. Ericsson is the owner of all rights, title, and interest in and to the '568 patent by assignment and possesses all rights of recovery under the '568 patent.

- 4. Ericsson contends that the inventions embodied by the asserted claims of the '019 patent are entitled to the effective filing date of October 15, 1996.
- 5. Ericsson is the owner of all rights, title, and interest in and to the '019 patent by assignment and possesses all rights of recovery under the '019 patent.
- 6. Ericsson contends that the inventions embodied by the asserted claims of the '223 patent are entitled to the effective filing date of April 6, 1999.
- 7. Ericsson is the owner of all rights, title, and interest in and to the '223 patent by assignment and possesses all rights of recovery under the '223 patent.
- 8. Ericsson contends that the inventions embodied by the asserted claims of the '625 patent are entitled to the effective filing date of July 1997.
- 9. Ericsson is the owner of all rights, title, and interest in and to the '625 patent by assignment and possesses all rights of recovery under the '625 patent.
- 10. Ericsson contends that the inventions embodied by the asserted claims of the '435 patent are entitled to the effective filing date of March 18, 1999.
- 11. Ericsson is the owner of all rights, title, and interest in and to the '435 patent by assignment and possesses all rights of recovery under the '435 patent.
- 12. Ericsson contends that the inventions embodied by the asserted claims of the '215 patent are entitled to the effective filing date of April 9, 1999.
- 13. Ericsson is the owner of all rights, title, and interest in and to the '215 patent by assignment and possesses all rights of recovery under the '215 patent.
- 14. Ericsson contends that it has been damaged by Defendants' conduct and seeks pre-verdict and post-verdict damages up to the time of judgment adequate to compensate

for the infringement by Defendants, but in no event less than a reasonable royalty, together with prejudgment and post-judgment interest and costs as fixed by the Court.

- 15. Ericsson contends that this case is exceptional and that Ericsson is entitled to reasonable attorneys' fees and costs (and consultant fees and costs) pursuant to 35 U.S.C. § 285.
- 16. Ericsson contends that Defendants' infringement is and has been willful and thus requests that the Court award to Ericsson enhanced damages pursuant to 35 U.S.C. § 284, as well as supplemental damages for any continuing post-verdict infringement up until entry of final judgment and an accounting for damages if necessary.
- 17. Ericsson contends that it is entitled to an injunction against Defendants. In the alternative, Ericsson contends that any denial of an injunction should be conditioned on payment of reasonable royalties for future infringement, including during any stay of an injunction pending appeal.
- 18. Ericsson denies Defendants' defenses and declaratory judgment claims, including that the patents-in-suit are invalid, unenforceable, and not infringed by Defendants.
- 19. Ericsson denies that Defendants are entitled to their costs, a declaration that this case is exceptional and their attorneys' fees.
  - 20. Ericsson contends that jurisdiction is proper in this Court.
- 21. Ericsson contends that venue is proper in the United States District Court for the Eastern District of Texas.
- 22. Ericsson contends that Defendants have sold, offered for sale, used and/or imported in the United States, various infringing products and methods of use including but not limited to:

- (a) Belkin products such as adapters, routers, switches, range extenders, and wireless cards into which the accused IEEE 802.11n functionality is integrated or included. Such Belkin product numbers include: F9L1001, F9K1001, F9K1002, F7D5301, F9K1002, F7D6301, F9L1101, F9K1002, F9K1105, F9K1105, F7D1101, F9K1106, F7D1102, F7D8302-OSS, F5D8236-4, F5D8235-4, F6D4230-4, F7D8301, F7D5301-TG, F7D6301-TG, F7D4101, F7D8301-PLT2, F7D8302-TG, F7D6301rw, F7D5301q, E9K1500, E9L1500, F7D8301-CC-PLT, F9K1004, E2S4000, E9L6000, E9K3000, E9K6000, F7D6301q F7D8301q, F7D8302q, E9K2000, DX-NRUTER, DX-NUSB, DX-NNBC, F7D4301, F7D1301, F7D4302, and F7D2301.
- (b) D-Link products such as adapters, routers, and access points into which the accused IEEE 802.11n functionality is integrated or included. Such D-Link product numbers include: DIR-601, DWA-140, DIR-615, DIR-655, DWA-130, DWA-131, DAP-1522, DWA-121, DIR-632, DIR-825, DWA-552, DAP-2553, DWA-160, DIR-628, DWA-130-SW, DIR-615-SW, DAP-1350, DAP-2590, DKT-408, DAP-2360, DWA-652, DIR-657, DIR-855, DIR-685, DWA-566, DHP-W306AV, DAP-3520, DSL-2740B, DAP-2310, DAP-2555, DSR-500N, DAP-2690, DHP-1565, DAP-3525, DAP-3690, DAP-2565, and DIR-600.
- Netgear products such as adapters, routers, range extenders, access points, (c) firewalls, media players, and upgrade kits into which the accused IEEE 802.11n functionality is integrated or included. Such Netgear product numbers include: WNR2000, WNR1000, WNDA3100, CG3000D, WNDR3400, WNDR3400, WGR614. WN3000RP, WNCE2001, WNDR3300, DGN1000, WN2000RPT, WNDR3700, WNR3500L, DGN2000, WNDR4000, WN311B, WN2500RP, DGN2200, WNDR4500, WNA1100, WNDR3800, WN511B, DGN3500, WN604, DGND3300, WNR834B, DGND3700, WNB2100, WNAP210, WNR854T, NTV250, WNHDE111, SRXN3205, MBRN3000, WN802T, WNDAP350. WNHDEB111, WNAP320, WNDRMAC, WN121T, CG3000DCR, WNDAP330, DGN2200M, WNR834M, WNEB3100, WNB511BDL, WNR2200, and WNR612.
- (d) Acer products such as notebooks, netbooks, tablets, desktops, and phones into which the accused IEEE 802.11n functionality is integrated or included. Such Acer product numbers include: A500, AO521, AO522, AO531h, AO532h, AO533, AO721, AO722, AOA150, AOD250, AOD255, AOD257, AOD260, AR1600, AR3610, AR3700, AS1410, AS1430, AS1551, AS1830, AS2930, AS3820, AS3830, AS3935, AS4253, AS4330, AS4530, AS4535, AS4540, AS4551, AS4552, AS4720, AS4730, AS4736, AS4820, AS5250, AS5251, AS5252, AS5253, AS5334, AS5335, AS5336, AS5520, AS5532, AS5534, AS5535, AS5536, AS5542, AS5551, AS5552, AS5553, AS5553, AS5738, AS5735, AS5738.

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- (e) Dell products such as laptops and other devices into which the accused IEEE 802.11n functionality is integrated or included. Such Dell products include those products that contain the following chipsets: BCM4313, INT6200, INT6300, BCM43224, AR9285, BCM43224/BCM43228, AR9280, INT6250, INT5100, BCM4322, INT5300, BCM4321, INT1000, BCM43228, INT4965, and INT2200.
- Toshiba products such as laptops, blu-ray players, tablets, TVs, and all-in-(f) one PCs, into which the accused IEEE 802.11n functionality is integrated or included. Such Toshiba product numbers include: PSC0YU, PSC08U, PSK1WU, PSC2EU, PLL50U, PSK2CU, PDA01U, PSC16U, PSLU0U, PSC12U, PSLV6U, PSAT6U, PSK0QU, PLL3AU, BDX2250KU, PSAY1U, PLL2PU, PSC72U, PSK3JU, PSCBQU, PSK40U, PSK0YU, PSC00U, PSK2LU, PSK3SU, PSK0GU, PSC3UU, PSC6AP, PSC9JU, PSK32U, PSC04U, PSAW0U, PSAT9U, PSC4AU, PSMQ1U, PSAT0U, PSCBLU, PSLU6U, PSPJ1U, PST3LU, PSK4GU, PSK3AU, PSC4SU, PSC00P, PSLXJU, PSLY1U, PT324U, PSE20U, PSPKAU, PST2LU, POX33U, PSK60U, PSK8GM, PSK2UU, PSKFUU, PSPLAU, PSU9BU, PSKA8U. 55SL417U, PST3AU, PSC34U, PSKBQU, PSC9AP, PSAW3U, PLL3FU, 46SL417U, PSKBGU, PT224U, PSKGGU, PSKAYU, PT314U, PSKFQU, PSC09U, PSC34P, 40S51U, PT321U, PSC7SU, PSC2FU, PSAY3U, PSAW6U, PSC8AU, 42SL417U, PSPJ5U,

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<sup>&</sup>lt;sup>2</sup> A complete list of the 622 Dell accused products are set forth in the March 4, 2013 expert report of John Bone at Exhibit 2.5.1. Because Dell's product designations are lengthy, a reference to their constituent chipsets is presented here for brevity.

PSU82U, PT311U, PSAX0U, PSKFLU, PPM78U, PSMPBU, PSK7CU, PLL3DU, PSPKEU, 55TL515U, PSLQ0U, PSPLEU, PSLW0U, PSK00U, PLL3EU, PSC9AM, PTSE3U, PT42NU, PSC14U, PTSE0U, PT525U, PST4LU, PSC8SU, PSPHJU, PSLY0U, 47TL515U, PSCA2U, PSC13U, PSKA8M, PSC10U, PSPG0U, PSLWJU, PLL2XU, PSK16M, PSC2NU, PT43NU, PSC01U, PSCB2P, PSLU1U, PSCBAU, PSAX3U, PSBY1U, PSMLYU, PTME0U, 42TL515U, PTSE1U, PT524U, PT310U, PSBZ1U, PSC1AU, PSC2EM, PT520U, PSK0HU, PDA03U, PSC4WU, PSPGSU, 24SL415U, PSAT3U, PTME3U, PSAU6U, PSC34M, PSK16U, 55L6200U, PSC9JM, PPM79U, PT331U, PSU4RU, PT235U, PSC02P, 32SL415U, PST4AU, PSK2GU, 32TL515U, PSK4YU, PT429U, PSC2SM, PT320U, PSCB6P, PTMB3U, PSC02U, PSAZ1U, PT42GU, PSK08U, 47L6200U, PSBY3U, PSC2GU, PTME1U, PSCBGU, PSPGJU, PSE11U, PPM75U, PTMB1U, PSK1WM, PSK2YM, PSMLBU, PTSB1U, 46UL610U, PSKACU, 55UL610U, PT42FU, PT225U, PSC0YM, PSK4GM, PSC2EP, PSMK2U, PSAW9U, PSLW8U, PTSB3U, 55L7200U, PST3BU, PQQ16U, PPR60U, PSK32M, PT534U, 65UL610U, PSMPDU, PSU6SU, PSU83U, PST1AU, PT530U, PT43GU, PSC01P, PSCB2M, PSKFNU, PSK01U, PT535U, PSBY5U, PSLW1U, PSCBEU, PSCB6M, PSKG2M, 47L7200U, PT439U, PSPG8U, PSKF6M, PSK8GU, PSK36U, PSU4SU, PSKBLU, PSU9CU, 42L6200U, PT535P, PTRB3U, PSK2QU, PSMQ3U, PST1BU, PT42HU, PSCBLM, PLW10U, PT331P, PTRB1U, PSU9MU, PSK98U, PSLU9U, PSMLMU, PTMB0U, PQX32U, PT528U, PSLU3U, PSU4SM, PSC6CP, PSMKCU, PSMR1U, PSSBEU, PSCBUU, PSK04U, PSPJ1M, PSMPMU, PSKGJU, PSPLXM, PQQ20U, PSPCCU, PPR50U, PSLN0U, PT330U, PSPD8U, PSLQ1U, PSU8CU, PT235M, PSU5EU, PSLN8U, PT43FM, PSMPPU, PPA60U, PPM82U, PPM81U, PT534M, POX31U, PSSBAU, PSSE0U, PSPE0U, PSMGDU, PPR61U, PT531U, PT43CU, PT538U, PPA61U, PT331M, PT43HU, PTS53U, PQQ14U, PPM81A, PSLM3U, PSLB9U, PPM70U, PSALWU, PTS52U, PSPB3U, PSLD9U, PSPBGU, PSU31U, PSU44U, POF55U, PSAG4U, PSU45U, PSALEU, POG43U, PSPB9U, PSAG0U, PSAG8U, PSLE8U, PSLD8U, PSU48U, PSALMU, PSPC8U, PSLB8U, PSAGCU, PSMD8U, PSU52U, PSPE8U, PSAL6U, PSE10U, PSAP3U, PSMG2U, PSAP0U, and PSLL0U.

(g) Intel wireless chipsets included in the Defendants' products into which the accused IEEE 802.11n functionality is integrated or included, including but not limited to the following chipsets: INT100, INT1000, INT1030, INT130, INT2200, INT2230, INT4965, INT5100, INT5300, INT6200, INT6205, INT6230, INT6250, and INT6300.

Ericsson reserves the right to amend the accused products as Defendants supplement financial data regarding the accused products. A complete list of the accused products are set forth in the March 4, 2013 expert report of John Bone.

#### • Defendants' Statement of Their Contentions

By providing these Contentions, Defendants not concede that all of these issues are appropriate for trial. In addition, Defendants do not waive any of their motions *in limine* or motions for summary judgment.

#### 1. Non-Infringement

- Defendants deny that they infringe—directly or indirectly—any of asserted claims
   1-5 of the '568 patent, either literally or under the doctrine of equivalents.<sup>3</sup>
- 2. Defendants deny that they infringe—directly or indirectly—any of asserted claims 19 and 22-24 of the '019 patent, either literally or under the doctrine of equivalents.
- 3. Defendants deny that they infringe—directly or indirectly—asserted claim 1 of the '625 patent, either literally or under the doctrine of equivalents.
- 4. Defendants deny that they infringe—directly or indirectly—any of asserted claims 1 and 2 of the '435 patent, either literally or under the doctrine of equivalents.
- 5. Defendants deny that they infringe—directly or indirectly—any of asserted claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52 and 54 of the '215 patent, either literally or under the doctrine of equivalents.

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While Ericsson has identified claim 5 of the '568 patent as an asserted claim in its section of the Joint Pre-Trial order, it has offered no expert opinion on that claim and thus Defendants do not believe that it is properly asserted and object to its identification as an asserted claim.

- 6. Defendants deny that they infringe—directly or indirectly—any of asserted claims 1-3, 11-14, 19, 21 and 22 of the '223 patent, either literally or under the doctrine of equivalents.
- 7. Defendants deny that they infringe—directly or indirectly—any of asserted claims 1 and 6 of the '516 patent, either literally or under the doctrine of equivalents.<sup>4</sup>

#### 2. Invalidity

- 8. Defendants contend that the asserted claims of the '568 patent are invalid under 35 U.S.C. § 102 due to anticipation by one or more prior art references.
- 9. Defendants contend that the asserted claims of the '568 are invalid under 35 U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 10. Defendants contend that the asserted claims of the '568 patent are invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.
- 11. Defendants contend that the asserted claims of the '568 patent are not entitled to a priority date earlier than the October 15, 1996 filing date of U.S. Patent Application Serial No. 08/725,643.
- 12. Defendants contend that the asserted claims of the '019 patent are invalid under 35 U.S.C. § 102 due to anticipation by one or more prior art references.
- 13. Defendants contend that the asserted claims of the '019 patent are invalid under 35 U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 14. Defendants contend that the asserted claims of the '019 patent are invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.

As noted *supra* in footnote 1, while Ericsson is no longer asserting the '516 patent Defendants are seeking leave to file a summary judgment motion to fully resolve the parties' disputes regarding this patent.

- 15. Defendants assert that the '019 patent is not entitled to a priority date any earlier than the October 15, 1996 filing date of U.S. Patent Application Serial No. 08/725,643.
- Defendants contend that the asserted claim of the '625 patent is invalid under 35U.S.C. § 102 due to anticipation by one of more prior art references.
- 17. Defendants contend that the asserted claim of the '625 is invalid under 35 U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 18. Defendants contend that the asserted claim of the '625 patent is invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.
- 19. Defendants asserted that the '625 patent is not entitled to a priority date any earlier than the October 28, 1998 filing date of U.S. Patent Application Serial No. 09/179,952.
- 20. Defendants contend that the asserted claims of the '435 patent are invalid under 35 U.S.C. § 102 due to anticipation by one or more prior art references.
- 21. Defendants contend that the asserted claims of the '435 patent are invalid under 35 U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 22. Defendants contend that the asserted claims of the '435 patent are invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.
- 23. Defendants assert that the '435 patent is not entitled to a priority date any earlier than the March 18, 1999 filing date of U.S. Patent Application Serial No. 09/271,319.
- 24. Defendants contend that the asserted claims of the '215 patent are invalid under 35 U.S.C. § 102 due to anticipation by one or more prior art references.

- 25. Defendants contend that the asserted claims of the '215 patent are invalid under 35 U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 26. Defendants contend that the asserted claims of the '215 patent are invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.
- 27. Defendants assert that the '215 patent is not entitled to a priority date any earlier than the April 9, 1999 filing date of U.S. Patent Application Serial No. 60/128,517.
- 28. Defendants contend that the asserted claims of the '223 patent are invalid under 35 U.S.C. § 102 due to anticipation by one or more prior art references.
- 29. Defendants contend that the asserted claims of the '223 are invalid under 35U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 30. Defendants contend that the asserted claims of the '223 patent are invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.
- 31. Defendants assert that the '223 patent is not entitled to a priority date any earlier than the April 6, 1999 filing date of U.S. Patent Application Serial No. 09/287,392.
- 32. Defendants contend that the asserted claims of the '516 patent are invalid under 35 U.S.C. § 102 due to anticipation by one or more prior art references.
- 33. Defendants contend that the asserted claims of the '516 patent are invalid under 35 U.S.C. § 103 due to obviousness in light of one or more prior art references.
- 34. Defendants contend that the asserted claims of the '516 patent are invalid under 35 U.S.C. § 112 due to lack of adequate written description, lack of enablement and/or indefiniteness.

35. Defendants assert that the '516 patent is not entitled to a priority date any earlier than the July 14, 1995 filing date of U.S. Patent Application Serial No. 08/502,633.

#### 3. Damages

- 36. In the event that the jury finds any of the asserted claims of the patents-in-suit valid and infringed, Defendants deny that Ericsson is entitled to any damages.
- 37. Defendants contend that any damages sought by Ericsson are limited by Ericsson's obligation to license the patents-in-suit on RAND bases.
- 38. Defendants contend that Ericsson has breached its obligation to license its patents on RAND terms.
- 39. Defendants contend that Ericsson is not entitled to damages based on the entire market value of Defendants' accused products.
- 40. Defendants contend that the doctrine of laches bars Ericsson from obtaining damages due to its intentional delay in bringing suit and ensuing prejudice to Defendants from Ericsson's unreasonable delay.
- 41. Defendants contend that Ericsson is estopped from asserting the patents-in-suit due to promissory estoppels and/or unclean hands.
- 42. Defendants deny that Ericsson is entitled to pre-judgment or post-judgment interest, costs or attorneys fees.
  - 43. Defendants deny that Ericsson is entitled to injunctive relief.
- 44. Defendant Dell contends that Ericsson is prevented from recovering any damages because of the covenant not to sue in the Master Purchase Agreement between Dell and Ericsson.

#### 4. Non-Willfulness

45. Defendants contend that Ericsson cannot meet its burden of proving by clear and convincing evidence that any Defendant willfully infringed any asserted claim and that Ericsson is entitled to enhancement of damages under 35 U.S.C. § 284.

#### 5. Declaratory and Other Affirmative Relief

- 46. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—any asserted claim of the '568 patent, either literally or under the doctrine of equivalents.
- 47. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—any of asserted claims 19 and 22-24 of the '019 patent, either literally or under the doctrine of equivalents.
- 48. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—asserted claim 1 of the '625 patent, either literally or under the doctrine of equivalents.
- 49. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—any of asserted claims 1 and 2 of the '435 patent, either literally or under the doctrine of equivalents.
- 50. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—any of asserted claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52 and 54 of the '215 patent, either literally or under the doctrine of equivalents.
- 51. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—any of asserted claims 1-3, 11-14, 19, 21 and 22 of the '223 patent, either literally or under the doctrine of equivalents.

- 52. Defendants are entitled to a declaration that that they do not infringe—directly or indirectly—any of asserted claims 1 and 6 of the '516 patent, either literally or under the doctrine of equivalents.
- 53. Defendants are entitled to a declaration that the asserted claims of the '568 patent are invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.
- 54. Defendants are entitled to a declaration that asserted claims 19 and 22-24 of the '019 patent are invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.
- 55. Defendants are entitled to a declaration that asserted claim 1 of the '625 patent is invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.
- 56. Defendants are entitled to a declaration that asserted claims 1 and 2 of the '435 patent are invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.
- 57. Defendants are entitled to a declaration that asserted claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52 and 54 of the '215 patent are invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.
- 58. Defendants are entitled to a declaration that asserted claims 1-3, 11-14, 19, 21 and 22 of the '223 patent are invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.
- 59. Defendants are entitled to a declaration that asserted claims 1 and 6 of the '516 patent are invalid for failure to meet the conditions of patentability of 35 U.S.C. §§ 102, 103 and/or 112.

- 60. Defendants are entitled to a declaration that Ericsson has breached is contractual obligations by failing to license its patents in a manner consistent with its RAND obligations.
- 61. Defendants are entitled to a declaration that Ericsson is estopped from asserting the patents-in-suit due to its breach of its RAND obligations.
- 62. Defendants are entitled to a declaration that Ericsson is estopped from asserting the patents-in-suit due to its unclean hands.
- 63. Defendants are entitled to recover reasonable costs and attorneys fees pursuant to 35 U.S.C. § 285.

#### V. STIPULATIONS AND UNCONTESTED FACTS

The parties agree to the following stipulations and uncontested facts.

#### • Ericsson's and Defendants' Statement of Uncontested Facts

- 1. The '568 patent has an earliest filing date of October 15, 1996, and was issued on October 15, 2002.
- 2. The '568 patent is entitled "Multi-rate radiocommunication systems and terminals."
- 3. Claims 1-4<sup>5</sup> of the '568 patent are at issue and asserted in this case against Defendants.
- 4. The '019 patent has an earliest filing date of October 15, 1996, and was issued on November 16, 1999.
- 5. The '019 patent is entitled "Multi-rate radiocommunication systems and terminals."

Ericsson contends that claim 5 of the '568 patent is also at issue and asserted in this case against Defendants. Defendants contend that, while Ericsson has identified claim 5 of the '568 patent as an asserted claim in its section of the Joint Pre-Trial order, it has offered no expert opinion on that claim and thus Defendants do not believe that it is properly asserted and object to its identification as an asserted claim.

- 6. Claims 19 and 22-24 of the '019 patent are at issue and asserted in this case against Defendants.
- 7. The '223 patent has an earliest filing date of April 6, 1999, and was issued on February 11, 2003.
- 8. The '223 patent is entitled "System and method for implementing a semi reliable retransmission protocol."
- 9. Claims 1-3, 11-14, 19, 21 and 22 of the '223 patent are at issue and asserted in this case against Intel and those Defendants using Intel-supplied chips.
- 10. The '625 patent has an earliest filing date of October 28, 1998, and was issued on July 23, 2002.
- 11. The '625 patent is entitled "Method and apparatus for discarding packets in a data network having automatic repeat request."
- 12. Claim 1 of the '625 patent is at issue and asserted in this case against Defendants.
- 13. The '435 patent has an earliest filing date of March 18, 1999, and was issued on December 11, 2001.
  - 14. The '435 patent is entitled "Data packet discard notification."
- 15. Claims 1 and 2 of the '435 patent are at issue and asserted in this case against Defendants.
- 16. The '215 patent has an earliest filing date of April 9, 1999, and was issued on August 3, 2004.
- 17. The '215 patent is entitled "Method for minimizing feedback responses in ARQ protocols."

- 18. Claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52, and 54 of the '215 patent are at issue and asserted in this case against Defendants.
- 19. Venue is proper in the United States District Court for the Eastern District of Texas, Tyler Division, in this case only.

#### VI. CONTESTED ISSUES OF FACT AND LAW

The Parties identify the following issues of fact that remain to be litigated. To the extent any issue of law discussed below is deemed to be an issue of fact, it is incorporated into this section. The Parties reserve the right to identify additional factual or legal issues that may arise, including issues raised in any motions *in limine*.

#### • Ericsson's Statement of Contested Issues of Fact and Law

By providing this Statement, Ericsson does not concede that all of these issues are appropriate for trial. In addition, Ericsson does not waive any of its pending motions.

- 1. Whether each Defendant directly and/or indirectly infringes claims 1-4 of the '568 patent.
- 2. Whether Defendants NETGEAR, D-Link, and Belkin directly and/or indirectly infringes claim 5 of the '568 patent.
- 3. Whether each Defendant directly and/or indirectly infringes claims 19 and 22-24 of the '019 patent.
- 4. Whether each Defendant directly and/or indirectly infringes claim 1 of the '625 patent.
- 5. Whether each Defendant directly and/or indirectly infringes claims 1 and 2 of the '435 patent.

- 6. Whether each Defendant directly and/or indirectly infringes claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52, and 54 of the '215 patent.
- 7. Whether Intel and those Defendants using Intel-supplied chips directly and/or indirectly infringe claims 1-3, 11-14, 19, 21, and 22 of the '223 patent.
  - 8. Whether Defendants' infringement is willful.
- 9. Whether Ericsson is entitled to enhanced damages pursuant to 35 U.S.C. § 284, and if so, the dollar amount of the enhancement.
- 10. Whether this case is an exceptional case pursuant to 35 U.S.C. § 285 and whether Ericsson is entitled to an award of attorneys' fees.
- 11. Whether Ericsson is entitled to damages to compensate for Defendants' infringement, and, if so, the dollar amount of pre-verdict and post-verdict damages to the time of judgment adequate to compensate for the infringement of the patent-in-suit, but in no event less than a reasonable royalty.
  - 12. Whether Ericsson is entitled to costs, and, if so, the dollar amount of their costs.
- 13. Whether Ericsson is entitled to prejudgment and post-judgment interest, and, if so, the dollar amount of prejudgment and post-judgment interest.
- 14. Whether Ericsson is entitled to an injunction against Defendants pursuant to 35 U.S.C. § 283, requiring Defendants to refrain from directly infringing, contributing to, or inducing the infringement of the patents-in-suit in the United States.
- 15. Whether any denial of an injunction should be conditioned on payment of reasonable royalties for future infringement, and if so, the royalty amount set for future infringement and a means or mechanism to account for future royalty payments, including during any stay of an injunction pending appeal.

- 16. Whether Defendants have proven by clear and convincing evidence that the claims of the patents-in-suit are invalid because they are anticipated by prior art references identified by the Defendants under 35 U.S.C. § 102.
- 17. Whether Defendants have proven by clear and convincing evidence that the claims of the patent-in-suit are obvious under 35 U.S.C. § 103 in view of prior art references identified by the Defendants.
- 18. Any issues of fact that are determined to constitute issues of law are hereby designated as such, and vice versa. Ericsson also incorporates by reference the contested issues raised in its pending motions. *See* Section X, *infra*.

#### • Defendants' Statement of Its Contested Issues of Fact and Law

Defendants identify their contested issues of fact and law that remain to be litigated. Defendants reserve the right to identify additional issues of fact and law that may arise. By providing this Statement, Defendants do not concede that all of these issues are appropriate for trial. In particular, Defendants do not waive any of their pending or future filed motions which, if granted, would render some or all of these issues moot.

- 1. Whether Defendants infringe—directly or indirectly—any asserted claim of the '568 patent, either literally or under the doctrine of equivalents.
- 2. Whether Defendants infringe—directly or indirectly—any of asserted claims 19 and 22-24 of the '019 patent, either literally or under the doctrine of equivalents.
- 3. Whether Defendants infringe—directly or indirectly—asserted claim 1 of the '625 patent, either literally or under the doctrine of equivalents.
- 4. Whether Defendants infringe—directly or indirectly—any of asserted claims 1 and 2 of the '435 patent, either literally or under the doctrine of equivalents.

- 5. Whether Defendants infringe—directly or indirectly—any of asserted claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52 and 54 of the '215 patent, either literally or under the doctrine of equivalents.
- 6. Whether Intel and those Defendants using Intel-supplied chips infringe—directly or indirectly—any of asserted claims 1-3, 11-14, 19, 21 and 22 of the '223 patent, either literally or under the doctrine of equivalents.
- 7. Whether Defendants infringe—directly or indirectly—any of asserted claims 1 and 6 of the '516 patent, either literally or under the doctrine of equivalents.
- 8. Whether asserted claims 1-4 of the '568 patent are invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 9. Whether asserted claims 19 and 22-24 of the '019 patent are invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 10. Whether asserted claim 1 of the '625 patent is invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 11. Whether asserted claims 1 and 2 of the '435 patent are invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 12. Whether asserted claims 1, 2, 4, 6, 8, 25, 26, 29, 32, 34, 45, 46, 49, 52 and 54 of the '215 patent are invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 13. Whether asserted claims 1-3, 11-14, 19, 21 and 22 of the '223 patent are invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 14. Whether asserted claims 1 and 6 of the '516 patent are invalid under 35 U.S.C. §§ 102, 103, and/or 112.

- 15. Whether Ericsson is entitled to damages of any kind, including any prejudgment or post-judgment interests, costs, or attorneys fees and if so, the proper amount of any reasonable royalty damages and whether that amount should be in the form of a lump sum payment that extends for the life of the patents.
- 16. Whether Ericsson is entitled to a reasonable royalty based on the entire market value of Defendants' accused products.
  - 17. Whether Defendants' alleged infringement was willful.
- 18. Whether, if willfulness is found, Ericsson is entitled to enhanced damages, supplemental damages, or any accounting for damages or attorneys' fees, expenses, or costs.
- 19. Whether Defendants are entitled to a declaration that they do not infringe any of the asserted claims of the patents-in-suit.
- 20. Whether Defendants are entitled to a declaration that the patents-in-suit are invalid under 35 U.S.C. §§ 102, 103, and/or 112.
- 21. Whether this action is an exceptional case such that Ericsson is entitled to its costs and/or attorney's fees and, if so, the proper amount of such costs and/or attorney's fees.
- 22. Whether this action is an exceptional case such that Defendants are entitled to their costs and/or attorney's fees and, if so, the proper amount of such costs and/or attorney's fees.
- 23. Whether the '625 Patent is entitled to a conception date of July 5, 1997 and whether the named inventors were diligent in attempting to reduce the alleged invention to practice from July 5, 1997 to October 28, 1998.
- 24. Whether Ericsson has breached its obligations to license its patents on RAND terms.

- 25. Whether Defendants are entitled to relief due to Ericsson's breach of its RAND obligations.
- 26. Whether Defendants are entitled to relief due to Ericsson's breach of its contractual obligations.
- 27. Whether Ericsson is estopped from asserting the patents-in-suit due to promissory estoppel and/or unclean hands.
  - 28. Whether Ericsson's damages claims are barred due to laches.
  - 29. Whether Ericsson is entitled to injunctive relief.
    - 30. Whether Ericsson is prevented from maintaining the present case against Dell in view of the covenant not to sue in the Master Purchase Agreement.
- 31. Any issues of fact that are determined to constitute issues of law are hereby designated as such, and vice versa. Defendants also incorporate by reference the contested issues raised in their pending motions. *See* Section X, *infra*.

#### Ericsson's Statement Regarding Issues to be Decided by the Court

- 1. Ericsson reserves the right to object to the language and substance of any proposed instructions and questions on the issues of obviousness.
- 2. Ericsson contends that any limitations on claim scope under the doctrine of equivalents requires determination by the Court, should not be submitted to the jury, and the jury should not be advised of the defense.
- 3. Ericsson contends that all issues related to Ericsson's claims for post trial issues such as injunctive relief, exceptional case, enhanced damages, attorney's fees, post- and pre-trial interest, and post-trial damages as well as Defendants' defenses of laches, estoppel, implied license/exhaustion, acquiescence, and waiver require determination by the Court, should

not be submitted to the jury, and the jury should not be advised of the request for injunction or the defenses.

4. Ericsson contends that the Court must determine whether or not Defendants have been objectively reckless in their infringement of the patents.

#### • Defendants' Statement Regarding Issues to be Decided by the Court

- 1. Defendants contend that their claims for breach of contract, promissory estoppel, unclean hands, and laches based on, *inter alia*, Ericsson's unreasonable and highly discriminatory licensing practices in violation of Ericsson's obligations to license its patents on reasonable and non-discriminatory (RAND) terms, including by seeking to impose unreasonable and discriminatory royalty rates on Defendants, should be determined by the Court. Defendants likewise contend that the maximum royalty rate that Ericsson can recover as damages in this case by virtue of its RAND obligations should be determined by the Court. Such an approach was recently adopted by Judge James Robart in *Microsoft Corp. v. Motorola, Inc., et al.*, No. 2:10-cv-01823, Dkt. No. 680, ¶ 308 (Apr. 25, 2013) by agreement of the parties.
- 2. Defendants contend that the Court should determine that Ericsson is not entitled to damages based on the entire market value of Defendants' accused products.
- 3. Defendants contend that all issues related to Ericsson's claim for enhanced damages, if appropriate, require determination by the Court, should not be submitted to the jury, and should not be presented to the jury as to evidence relating solely thereto.
- 4. Defendants contend that all issues related to Ericsson's claim for attorneys' fees, if appropriate, require determination by the Court, should not be submitted to the jury, and should not be presented to the jury as to evidence relating solely thereto.

- 5. Defendants contend that all issues related to Ericsson's claims for prejudgment interest, if appropriate, require determination by the Court, should not be submitted to the jury, and should not be presented to the jury as to evidence relating solely thereto.
- 6. Defendants contend that injunctive relief is an equitable issue reserved for the Court's discretion, and should be determined solely by the Court.
- 7. Defendants contend that Ericsson does not have sufficient evidence of alleged willfulness to satisfy the objective prong of the test for willfulness, and the Court should determine that to be the case as a matter of law.

#### VII. LIST OF WITNESSES

- Ericsson's Witness List is attached as **Exhibit A**.
- Ericsson's Rebuttal Witness List is attached as **Exhibit B.**
- Defendants' Witness List is attached as **Exhibit C**.
- Defendants' Rebuttal Witness List is attached as **Exhibit D**.

#### VIII. DEPOSITION DESIGNATIONS

The parties have exchanged deposition designations, rebuttal designations and objections to deposition designations, and objections to rebuttal deposition designations and counter-designations, which are attached as follows:

- Ericsson's Deposition Designations, including objections and counterdesignations are attached as <u>Exhibit E</u>.<sup>6</sup>
- Defendants' Deposition Designations, including objections and counterdesignations are attached as Exhibit F.<sup>7</sup>

<sup>&</sup>lt;sup>6</sup> Objections and counter-designations will be supplemented by the parties.

<sup>&</sup>lt;sup>7</sup> Objections and counter-designations will be supplemented by the parties.

The Parties will continue to meet and confer regarding their respective objections in order to strive to resolve all objections and issues prior to presenting them to the Court. In addition, the parties have been conferring and, based on those conferences, Defendants intend to file a motion seeking to increase the limit on the total time for their deposition designations to an extent. Ericsson has confirmed in these conferences that it will not oppose a motion to increase that limit to 15 hours per side. To the extent that the parties' further conferences about these issues lead to further reduction of their respective designations and/or elimination of their respective objections, the parties will file updated versions of the designations and objections hereto.

#### IX. LIST OF EXHIBITS

The parties have exchanged exhibit lists and objections to exhibit lists, which are attached as follows:

- Ericsson's Trial Exhibit List is attached as **Exhibit G**.
- Defendants' Trial Exhibit List is attached as **Exhibit H**.
- Ericsson's objections to Defendants' Exhibit List is attached as **Exhibit I**.
- Defendants' objections to Ericsson's Exhibit List is attached as **Exhibit J**.

The parties anticipate further supplementing their exhibit list, and the Parties will meet and confer regarding their respective objections in order to strive to resolve all objections and issues prior to presenting them to the Court. To the extent that the parties' further conferences about these issues lead to further changes to their respective exhibit lists and/or elimination of their respective objections, the parties will file updated versions of the exhibit lists and objections attached hereto.

#### X. LIST OF PENDING MOTIONS

Docket Number	Motion
361	Plaintiffs' Daubert Motion to Strike the Expert Report and Exclude the

<b>Docket Number</b>	Motion
	Testimony of David Cabello
402	Defendants' Motion to Compel Plaintiff to Produce Certain Clawed Back Documents
425	Plaintiff's Motions in Limine
426	Parties' Joint Motions in Limine
427	Defendants' Motions In Limine
431	Plaintiff's Motion for Partial Summary Judgment and/or to Exclude Defendant Dell's License Defenses
447	Defendants' Unopposed Motion to Exceed Limit on Deposition Designations
448	Defendants' Motion for Confirmation of Court's Claim Construction

#### XI. PROBABLE LENGTH OF TRIAL

Ericsson estimates the probable length of trial will be 6 days. Ericsson requests 15 hours per side for direct, cross, and rebuttal examination. Ericsson further requests 30 minutes per side for voir dire, and 45 minutes per side for an opening statement, and 45 minutes per side for closing arguments.

Defendants estimate and hereby request 8 days for trial in light of the number of asserted claims, defendants, accused products, and invalidity references at issue in this case. Defendants request that each side receives 45 minutes for voir dire, 1 hour for an opening statement, and 1 hour for a closing argument. For direct, cross, and rebuttal examination, Defendants request 20 hours per side.

#### XII. CERTIFICATIONS

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The undersigned counsel for each of the parties to this action does hereby certify and

acknowledge the following:

1. Full and complete disclosure has been made in accordance with the Federal Rules

of Civil Procedure, the Local Rules, and the Court's orders;

2. The parties have complied with discovery limitations set forth in the Federal

Rules of Civil Procedure, the Local Rules, and the Court's orders.<sup>8</sup>

3. Each exhibit in the List of Exhibits herein:

(a) is in existence;

(b) is numbered; and

(c) has been disclosed and shown to opposing counsel.<sup>9</sup>

DATED: May 22, 2013 Respectfully submitted,

By: /s/ Theodore Stevenson III

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This representation is subject to any matters addressed in motions and/or objections.

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**JOINT FINAL PRETRIAL ORDER** McKool 874523v2

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**CERTIFICATE OF SERVICE** 

The undersigned certifies that the foregoing document was filed electronically in

compliance with Local Rule CV-5(a). As such, this document was served on all counsel who

have consented to electronic service on Wednesday, May 22, 2013. Local Rule CV-53(a)(3)(A).

/s/ Brandon M. Jordan

Brandon M. Jordan